



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,800	09/26/2001	Eric S. Kolb	991154CIP

22876  
FACTOR & PARTNERS, LLC  
1327 W. WASHINGTON BLVD.  
SUITE 5G/H  
CHICAGO, IL 60607

CONFIRMATION NO. 9923

## FORMALITIES LETTER



\*OC000000006933264\*

*Do not file  
12-18-01  
C.A.*

Date Mailed: 10/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/2002 BABRAHA1 00000037 09963800

01 FC:201 370.00 OP  
02 FC:205 65.00 OP

BEST AVAILABLE COPY



IN THE  
**UNITED STATES**  
**PATENT AND TRADEMARK OFFICE**

*Section #*

IN RE APPLICATION OF: Kolb et al.

**COPY OF PAPERS  
ORIGINALLY FILED**

CASE: 991154CIP

SERIAL NO.: 09/963,800 ✓

FILED ON: September 26, 2001

FOR: ELECTROCHEMICAL CELL HAVING A  
CONTROLLED ELECTRODE SURFACE

RESPONSE TO NOTICE  
TO FILE MISSING PARTS  
OF APPLICATION (Filing  
Date Granted)

ATTENTION OF: Missing Parts

ASSISTANT COMMISSIONER  
OF PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 50-0545.

This is in response to the Notice to File Missing Parts of Application (filing date granted), mailed October 18, 2001, a copy of which is attached.

The Notice indicated that the executed declaration and the statutory basic filing fee in the amount of \$370.00 are missing. In response, Applicant herewith submits the fully executed declaration along with a single check in the amount of \$435.00 (\$355.00+\$65.00) for both the statutory basic filing fee and for the surcharge fee. Accordingly, all outstanding missing parts identified in the Notice are submitted herewith, along with the appropriate surcharge fee.

**FACTOR & PARTNERS, LLC**  
1327 West Washington Blvd., Suite 5G/H  
Chicago, Illinois 60607  
(312) 226-1818 Telephone  
(312) 226-1919 Facsimile

**Jody L. Factor, Reg. No. 34157**  
**Jovan N. Jovanovic, Reg. No. 40039**  
**William L. King, III, Reg. No. 46830**

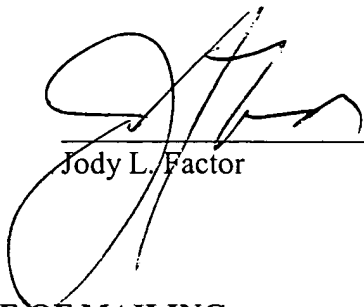
**REST AVAILABLE COPY**

Applicant submits that the application is now in condition for substantive examination at the present time.

Should anything further be required, the Examiner is respectfully requested to contact the undersigned at (312) 226-1818.

Respectfully submitted,  
FACTOR & PARTNERS, LLC

Dated: December 14, 2001

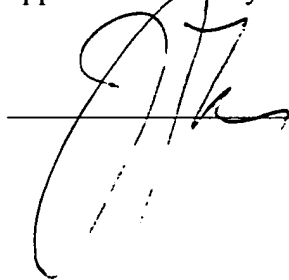
  
\_\_\_\_\_  
Jody L. Factor

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on December 17, 2001.

Jody L. Factor

Name of Applicant, assignee, applicant's attorney or Registered Representative.

  
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